

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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CFA INSTITUTE, a Virginia Non-Stock :
Corporation,

: Plaintiff, **ECF CASE**

: -against- Case No.

: COMMERCIAL FINANCE ASSOCIATION, **RULE 7.1 STATEMENT**
INC., a Delaware Not-for-Profit Corporation,

: Defendant.

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Pursuant to Federal Rule of Civil Procedure 7.1 and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, Plaintiff CFA Institute (“CFA Institute”) hereby certifies that: CFA Institute does not have a parent corporation and no publicly held corporation owns ten percent (10%) or more of CFA Institute’s stock.

Dated: New York, New York
August 11, 2017

Respectfully submitted,

DLA PIPER LLP (US)

By: /s/ Ashley H. Joyce
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